8-K 1 a50730825.htm MORGAN STANLEY 8-K

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

## FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 18, 2013

## Morgan Stanley

(Exact name of Registrant as specified in its charter)

	Delaware	1-11758	36-3145972	
	(State or other jurisdiction of incorporation)	(Commission File Number)	(I.R.S. Employer Identification No.)	
	1585 Broadway, New York, New York 10036  (Address of principal executive offices, including zip code)			
	Registrant's telephone	e number, including area code:	(212) 761-4000	
	(Former	address, if changed since last r	eport)	
regis	ck the appropriate box below if the Form 8-K filing is intestrant under any of the following provisions (see General	Instruction A.2. below):		
[] []	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425) Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)			
[]	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))			
[]	Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))			
Item	2.02. Results of Operations and Financial Condition			
	On October 18, 2013, Morgan Stanley (the "Registra	ant") released financial informa	ation with respect to its quarter ended September 30, 2013.	

of the Securities Exchange Act of 1934, as amended.

The information furnished under Item 2.02 of this Report, including Exhibit 99.1 and Exhibit 99.2, shall be deemed to be "filed" for purposes

A copy of the press release containing this information is annexed as Exhibit 99.1 to this Report and by this reference incorporated herein and made a part hereof. In addition, a copy of the Registrant's Financial Data Supplement for its quarter ended September 30, 2013 is annexed as Exhibit 99.2 to

this Report and by this reference incorporated herein and made a part hereof.

### Item 9.01. Financial Statements and Exhibits

- Press release of the Registrant, dated October 18, 2013, containing financial information for the quarter ended September 30, 2013.
- 99.2 Financial Data Supplement of the Registrant for the quarter ended September 30, 2013.

#### SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned, hereunto duly authorized.

MORGAN STANLEY (Registrant)

By: /s/ Paul C. Wirth

Paul C. Wirth

Deputy Chief Financial Officer and Controller

Dated: October 18, 2013